

12-06-01

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

Only for new nonprovisional applications under 37 CFR 1.53(b)

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

Attorney Docket No. 1688 (15723)

First Inventor or Application Identifier Benjamin J. Parker et al.

Title Network Security Services Architecture

Express Mail Label No. EL 684695283 US

Assistant Commissioner for Patents

ADDRESS TO: Box Patent Application  
Washington, DC 20231

- \*Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)

2.  Applicant claims small entity status.  
(See 37 CFR 1.27)

3.  Specification [Total Pages 16]  
(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4.  Drawing(s) (35 USC 113) [Total Sheets 6]

5. Oath or Declaration [Total Pages 2]

- a.  Newly executed (original or copy)
- b.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)  
[Note Box 5 below]

DELETION OF INVENTORS

- i.  Signed statement attached deleting inventor(s) named in the prior application, see CFR §§ 1.63(d)(2) and 1.33(b).

6.  Application Data Sheet. See 37 CFR 1.76

If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

- Continuation     Divisional     Continuation-in-part (CIP)

of prior Application No. /

Group/Art Unit \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_  
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

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City	Kansas City	State	MO	Zip Code	64114
Country	USA	Telephone		Fax	
Name (print/type)	Mark L. Mollon		Registration No. (Attorney/Agent)	31,123	
Signature	<i>Mark L. Mollon</i>		Date	October 25, 2001	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231  
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*Terri L. Fox*  
Terri L. Fox

JCE57 U.S. PRO  
10/003816  
10/25/01

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# FEE TRANSMITTAL For FY 2002

Patent fees are subject to annual revision.

<b>FEE TRANSMITTAL For FY 2002</b>			
Patent fees are subject to annual revision.			
TOTAL AMOUNT OF PAYMENT	(\$	780	)
		Complete if known	
		Application Number	
		Filing Date	
		First Named Inventor	Benjamin J. Parker et al.
		Examiner Name	
		Group/Art Unit	
		Attorney Docket No.	1688 (15723)

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																																																																	
<p><input checked="" type="checkbox"/> The commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Acct. No. <u>50-0567</u></p> <p>Deposit Acct. Name <u>MacMillan, Sobanski, &amp; Todd, LLC</u></p> <p><input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27</p> <p><input type="checkbox"/> Payment Enclosed:</p> <p><input type="checkbox"/> Check    <input type="checkbox"/> Credit Card    <input type="checkbox"/> Money Order    <input type="checkbox"/> Other</p>				<p><b>3. 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SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Mark L. Mollon	Reg. No.	31,123
Signature	<i>Mark L. Mollon</i>	Date	10/25/01
		Deposit Account User ID	

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<b>NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor	Benjamin J. Parker et al.
	Title	Network Security Services Architecture
	Atty Docket Number	1688 (15723)

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires the publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

9/25/01

Date

Signature

Mark L. Mollon

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). This form is estimated to take 6 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231  
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